



# Bothra Metals & Alloys Ltd.

A House of Metals

## Shareholding Pattern

## BOTHRA METALS &amp; ALLOYS LTD.

Security Code : 535279  
Date Begin : 01 Jul 2015Quarter Ending : September 2015  
Date End : 30 Sep 2015

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of warrant	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Paid-up capital of the company assuming full conversion of warrants and convertible securities</b>	<b>18516640</b>		

Category of Shareholder	No. of Shareholders	Total No. of Shares	Total No. of Shares held in Dematerialized Form	Total Shareholding as a % of Total No. of Shares		Shares pledged or otherwise encumbered	As a % of Total No. of Shares
				As a % of (A+B)	As a % of (A+B+C)		
<b>(A) Shareholding of Promoter and Promoter Group</b>							
<b>(1) Indian</b>							
Individuals / Hindu Undivided Family	17	13626640	13626640	73.59	73.59	0	0.00
<b>Sub Total</b>	<b>17</b>	<b>13626640</b>	<b>13626640</b>	<b>73.59</b>	<b>73.59</b>	<b>0</b>	<b>0.00</b>
<b>(2) Foreign</b>							
Total shareholding of Promoter and Promoter Group (A)	17	13626640	13626640	73.59	73.59	0	0.00
<b>(B) Public Shareholding</b>							
<b>(1) Institutions</b>							
<b>(2) Non-Institutions</b>							
Bodies Corporate	6	304000	304000	1.64	1.64	0	0.00
Individual shareholders holding nominal share capital up to Rs. 1 lakh	97	548000	548000	2.96	2.96	0	0.00
Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	98	4006000	4006000	21.63	21.63	0	0.00
Any Others (Specify)	1	32000	32000	0.17	0.17	0	0.00
Clearing Members	1	32000	32000	0.17	0.17	0	0.00
<b>Sub Total</b>	<b>202</b>	<b>4890000</b>	<b>4890000</b>	<b>26.41</b>	<b>26.41</b>	<b>0</b>	<b>0.00</b>
Total Public shareholding (B)	202	4890000	4890000	26.41	26.41	0	0.00
<b>Total (A)+(B)</b>	<b>219</b>	<b>18516640</b>	<b>18516640</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>							
(1)	0	0	0	0.00	0.00	0	0.00
(2)	0	0	0	0.00	0.00	0	0.00
<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)+(C)</b>	<b>219</b>	<b>18516640</b>	<b>18516640</b>	<b>0.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

## Notes:

- For determining public shareholding for the purpose of Clause 40A
- For definitions of Promoter and Promoter Group, refer to Clause 40A.
- Public shareholding

## Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category Promoter and Promoter Group

Sl. No.	Name of the Shareholder	Details of Shares held			Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of Shares held	As a % of grand total (A+B+H+C)	No	As a percentage	As a % of grand total (A+B+H+C) of sub-class (Y/B)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
1	Sunderlal Likhmichand Bothra	285,700	1.54	0	0.00	0.00	0	0.00	0	0.00	1.54	
2	Narendra Kumar Bothra	1284,700	6.94	0	0.00	0.00	0	0.00	0	0.00	6.94	
3	Sardarmal Chhogaram Suthar	14,49,820	7.83	0	0.00	0.00	0	0.00	0	0.00	7.83	
4	Sunder Lal Bothra	26,83,780	14.49	0	0.00	0.00	0	0.00	0	0.00	14.49	
5	Madhu Narendra Bothra	1,98,140	1.07	0	0.00	0.00	0	0.00	0	0.00	1.07	
6	Manju S Bothra	33,66,280	18.18	0	0.00	0.00	0	0.00	0	0.00	18.18	
7	Dimple K Bothra	10,55,000	5.70	0	0.00	0.00	0	0.00	0	0.00	5.70	
8	Kishanlal Likhmichand Bothra	1,65,590	0.89	0	0.00	0.00	0	0.00	0	0.00	0.89	
9	Bothra Moolchand	1,33,500	0.72	0	0.00	0.00	0	0.00	0	0.00	0.72	
10	Sunderlal Likhmichand Bothra (HuF)	1,07,420	0.58	0	0.00	0.00	0	0.00	0	0.00	0.58	
11	Kishanlal Likhmichand Bothra (HuF)	9,280	0.05	0	0.00	0.00	0	0.00	0	0.00	0.05	
12	Sayadevi L Bothra	13,88,640	7.50	0	0.00	0.00	0	0.00	0	0.00	7.50	
13	Narendrakumar L Bothra (HuF)	8,420	0.05	0	0.00	0.00	0	0.00	0	0.00	0.05	
	<b>Total</b>	<b>1,36,26,640</b>	<b>73.99</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>73.99</b>	

(\*) The term encumbrance has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

## Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares

Sl. No.	Name of the Shareholder	No. of Shares held	Shares as % of Total No. of Shares	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% wrt total number of convertible securities of the same class	
1	Akhay H Kothan	422000	2.17	0	0.00	0	0.00	2.17
2	Choice Equity Broking Private Limited	206000	1.11	0	0.00	0	0.00	1.11
3	Vinaychand Hakim chand Jan	504000	2.72	0	0.00	0	0.00	2.72
	<b>Total</b>	<b>1112000</b>	<b>6.01</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>6.01</b>

## Shareholding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sl. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	No. of Shares	Shares as % of Total No. of Shares	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% wrt total number of convertible securities of the same class	
1	NIL	0	0.00	0	0.00	0	0.00	0.00
	<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>

## Details of Locked-in Shares

Sl. No.	Name of the Shareholder	No. of Shares	Locked-in Shares as % of Total No. of Shares
1	Kishanlal Likhmichand Bothra	7,40,495	4.00
2	Narendra Kumar Bothra	5,74,779	3.10
3	Sardarmal Chhogaram Suthar	6,48,316	3.50
4	Sunderlal Bothra	17,40,838	9.40
	<b>Total</b>	<b>37,04,428</b>	<b>20.01</b>

## Details of disclosure made by the Trading Members holding 1% or more of the Total No. of shares of the company.

Sl. No.	Name of the Trading Member	Name of the Beneficial Owner	No. of shares held	% of total no. of shares	Date of reporting by the Trading Member
1	CHOICE EQUITY BROKING PRIVATE LIMITED	Choice Equity Broking Private Limited	2,06,000	1.11	10/11/2015



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