

BOTHRA METALS AND ALLOYS LIMITED

Room No 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai,
Maharashtra-400002

Date: October 13, 2021

To,
The Manager,
The Corporate Relation Department
The Bombay Stock Exchange (SME Platform)
25th Floor P.J. Towers Dalal Street
Fort Mumbai- 400023

Subject: Compliance Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018, for the quarter ended 30th September, 2021

Ref: Scrip Code-535279, Scrip ID- BMAL

Dear Sirs,

Please find attached herewith Certificate received from Company's RTA, viz. Link Intime India Private Limited, under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, for the quarter ended 30th September, 2021.

Kindly take the same on record.

Yours Faithfully,

For Bothra Metals & Alloys Ltd. (Under CIRP)

HARISH
KAUSHIK

Digitally signed by
HARISH KAUSHIK
Date: 2021.10.13
17:16:44 +05'30'

Harish Kant Kaushik

As Interim Resolution Professional of Bothra Metals & Alloys Ltd.

Reg. No. IBBI/IPA-001/IP-P-01469/2018-2019/12340

Address: Flat No. 1904, Sapphire, Regency Towers, Kavesar,

Ghodbunder Road, Thane (W) – 4000615

Email ID: harishkant2007@gmail.com, irp.bothrametals@gmail.com

Encl.: As above.

Date : 08-10-2021

To,
The Compliance Officer/ Company Secretary
BOTHRA METALS & ALLOYS LIMITED
140 L (222/D), 1ST FLOOR, ROOM NO. 6,
CAVEL X LANE NO. 7,
DR. VIEGAS STREET, KALBADEVI ROAD ,
MUMBAI
PINCODE : 400002

Sub : Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Dear Sir/Madam,


In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th September, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 in view of the Covid-19 situation had provided relaxation in adherence to the prescribed timelines which included the processing of the demat requests by the Issuer Company/RTA. The relaxation was provided up to July 31, 2021. We have been informed that Registrars Association of India (RAIN) had vide their representations requested SEBI to consider and extend the aforesaid relaxation up to September 30, 2021. We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the relaxation in time lines provided in the aforesaid circular up to July 31, 2021 and within 15 days from August 1, 2021. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Link Intime India Pvt.Ltd.



Ashok Shetty
Vice President-Corporate Registry

Note : You are requested to inform Depositorie(s) and Stock Exchange(s) wherever your security are listed accordingly.