

# **BOTHRA METALS AND ALLOYS LIMITED**

Room No 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai,  
Maharashtra-400002

**Date: April 19, 2021**

**To,**  
**The Corporate Relation Department**  
**The Bombay Stock Exchange (SME Platform)**  
25<sup>th</sup> Floor P.J. Towers Dalal Street  
Fort Mumbai- 400023

**Subject: Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 31<sup>st</sup> March, 2021**

**Ref: Scrip Code-535279, Scrip ID- BMAL**

Dear Sirs,

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate for the half year ended 31<sup>st</sup> March, 2021, signed by the RTA, and Interim Resolution Professional (IRP) on behalf of the Company.

Please note that the Company is under CIRP process. Hence, the IRP is signing off this letter as well as the Regulation 7(3) Certificate.

Kindly take the same on record.

Yours Faithfully,  
**For Bothra Metals & Alloys Ltd.**  
**(Company Under Corporate Insolvency Resolution Process)**

HARISH  
KAUSHIK

Digitally signed by  
HARISH KAUSHIK  
Date: 2021.04.19  
16:50:37 +05'30'

**Harish Kant Kaushik**  
**As Interim Resolution Professional of Bothra Metals & Alloys Ltd.**  
**Reg. No. IBBI/IPA-001/IP-P-01469/2018-2019/12340**  
**Address: Flat No. 1904, Sapphire, Regency Towers, Kavesar,**  
**Ghodbunder Road, Thane (W) – 4000615**  
**Email ID: [harishkant2007@gmail.com](mailto:harishkant2007@gmail.com), [irp.bothrametals@gmail.com](mailto:irp.bothrametals@gmail.com)**

**Encl.: As above.**

# COMPLIANCE CERTIFICATE

## For The Half Year Ended on 31-03-2021

[Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

This is to certify that pursuant to Regulation 7 (3) of SEBI (Listing Obligations and Disclosure requirements ) Regulations 2015, Bothra Metals & Alloys Limited has complied with the requirements pursuant to Regulation 7 (2) of the said Regulations, by ensuring that all the activities in relation to both, Physical and Electronic, share transfer facilities i.e. Dematerlization and Rematerlization are maintained through its Registrar & Share Transfer Agent, M/s. Link Intime India Pvt. Ltd., which is registered with the Board (SEBI), under Registration No. INR000004058 for the Half Year ended 31-03-2021.

Yours Truly,

**For Bothra Metals & Alloys Limited**

**HARISH**  
**KAUSHIK**  
Digitally signed  
by HARISH  
KAUSHIK  
Date: 2021.04.19  
16:49:42 +05'30'

**(COMPLIANCE OFFICER)**

**For LINK INTIME INDIA PVT. LTD.**



**(AUTHORISED SIGNATORY)**