

**BOTHRA METALS AND ALLOYS LIMITED**

Room No 6A, Ground Floor, Bothra House, 5 Assembly Lane,  
Dadi Seth Agyari Lane, Kalbadevi Mumbai, Maharashtra-400002

Date: October 12, 2021

To,  
The Corporate Relation Department,  
The Bombay Stock Exchange (SME Platform)  
25<sup>th</sup> Floor P.J. Towers Dalal Street,  
Fort, Mumbai- 400023

**Subject: Compliance Report on Corporate Governance for the Quarter ended 30<sup>th</sup> September, 2021**

**Ref: Scrip Code-535279, Scrip ID- BMAL**

Dear Sir,

The Company, being a SME listed entity, Corporate Governance provisions as per Regulation 27(2) read with Clause 15(2)(b) of Chapter IV of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, are not applicable to the Company.

In view of the same, we are attaching herewith the 'Certificate of Non-Applicability of Corporate Governance' for the quarter ended 30<sup>th</sup> September, 2021.

Kindly take the same on record.

Yours Faithfully,

For Bothra Metals & Alloys Ltd.  
(Company under Corporate Insolvency Resolution Process)

  
Harish Kant Kaushik



As Interim Resolution Professional of Bothra Metals & Alloys Ltd.  
Address: Flat No. 1904, Sapphire, Regency Towers, Kavesar,  
Ghodbunder Road, Thane (W) – 4000615  
Reg. No. IBBI/IPA-001/IP-P-01469/2018-2019/12340

Email ID: [irp.bothrametals@gmail.com](mailto:irp.bothrametals@gmail.com)

Encl.: As above.

**CERTIFICATE OF NON-APPLICABILITY/ NON-SUBMISSION OF CORPORATE  
GOVERNANCE REPORT FOR QUARTER ENDED 30<sup>TH</sup> SEPTEMBER, 2021**

This is to certify that the Company, viz. Bothra Metals & Alloys Ltd. is a SME listed entity and hence, pursuant to Clause 15(2)(b) of Chapter IV of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('**LODR Regulations**'), the Company is not required to submit a Quarterly Compliance Certificate on Corporate Governance as envisaged under Regulation 27(2) of the LODR Regulations for the quarter ended 30<sup>th</sup>September , 2021.

**For Bothra Metals & Alloys Ltd.**  
**(Company under Corporate Insolvency Resolution Process)**

  
**Harish Kant Kaushik**



**As Interim Resolution Professional of Bothra Metals & Alloys Ltd.**  
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