

## **BOTHRA METALS AND ALLOYS LIMITED**

Room No 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai,  
Maharashtra-400002

**Date: October 09, 2020**

To,  
The Corporate Relation Department  
The Bombay Stock Exchange (SME Platform)  
25<sup>th</sup> Floor P.J. Towers Dalal Street  
Fort Mumbai- 400023

**Subject:PCS Certificate underClause 40(9) of SEBI (LODR) Regulations, 2015, for the half year ended 30<sup>th</sup>September, 2020**

**Ref: Scrip Code-535279, Scrip ID- BMAL**

Dear Sirs,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate from M/s. Dhawal Gadda & Co., Company Secretaries, for the half year ended 30<sup>th</sup> September, 2020.

Kindly take the same on record.

Thanking You,

Yours Faithfully,

**For Bothra Metals & Alloys Ltd-under CIRP.**



**Harish Kant Kaushik**

**As Interim Resolution Professional of Bothra Metals & Alloys Ltd**

**Reg. No. IBBI/PA-001/IP-P-01469/2018-2019/12340**

**Address: Flat No. 1904, Sapphire, Regency Towers, Kavesar,  
Ghodbunder Road, Thane (W) – 4000615**

**Email ID: [harishkant2007@gmail.com](mailto:harishkant2007@gmail.com), [irp.bothrametals@gmail.com](mailto:irp.bothrametals@gmail.com)**

**Encl.: As above.**

**CERTIFICATE UNDER CLAUSE 40(9) OF SEBI (LODR) REGULATIONS, 2015, FOR THE HALF  
YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. BOTHRA METALS AND ALLOYS LIMITED** (hereinafter referred to as 'the Company') having its registered office at Room No, 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai 400002, maintained by Link Intime India Private Limited, the Registrar & Share Transfer Agent, pertaining to transfer of Equity Shares of the Company during the period from 1<sup>st</sup> April, 2020, to 30<sup>th</sup> September, 2020, for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company, I hereby certify that the Company has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during half year ended on 30<sup>th</sup> September, 2020.

**For DHAWAL GADDA & CO.**  
***Practicing Company Secretaries***

**Place: Mumbai**  
**Date: 10<sup>th</sup> October, 2020**

DHAWAL  
CHHAGANLAL  
L GADDA  
Digitally signed by  
DHAWAL CHHAGANLAL  
GADDA  
Date: 2020.10.10  
11:18:31 +05'30'

**CS Dhawal C. Gadda**  
**Proprietor**  
**UDIN: F008955B000902243**