

BOTHRA METALS AND ALLOYS LIMITED

Room No 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai,
Maharashtra-400002

Date: October 13, 2021

To,
The Corporate Relation Department
The Bombay Stock Exchange (SME Platform)
25th Floor P.J. Towers Dalal Street
Fort Mumbai- 400023

Subject: PCS Certificate under Clause 40(9) of SEBI (LODR) Regulations, 2015, for the half year ended 30th September, 2021

Ref: Scrip Code-535279, Scrip ID- BMAL

Dear Sirs,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate from M/s. Dhawal Gadda & Co., Company Secretaries, for the half year ended 30th September, 2021.

Kindly take the same on record.

Thanking You,

Yours Faithfully,
For Bothra Metals & Alloys Ltd.
(Company Under Corporate Insolvency Resolution Process)

HARISH
KAUSHIK
Digitally signed by
HARISH KAUSHIK
Date: 2021.10.13
17:14:00 +05'30'

Harish Kant Kaushik
As Interim Resolution Professional of Bothra Metals & Alloys Ltd.
Reg. No. IBBI/IPA-001/IP-P-01469/2018-2019/12340
Address: Flat No. 1904, Sapphire, Regency Towers, Kavesar,
Ghodbunder Road, Thane (W) – 4000615
Email ID: harishkant2007@gmail.com, irp.bothrametals@gmail.com

Encl.: As above.

**CERTIFICATE UNDER CLAUSE 40(9) OF SEBI (LODR) REGULATIONS, 2015, FOR THE HALF
YEAR ENDED 30TH September, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. BOTHRA METALS AND ALLOYS LIMITED** (hereinafter referred to as 'the Company') having its registered office at Room No, 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai 400002, maintained by Link Intime India Private Limited, the Registrar & Share Transfer Agent, pertaining to transfer of Equity Shares of the Company during the period from 1st April, 2021, to 30th September, 2021, for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company, I hereby certify that the Company has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during half year ended on 30th September, 2021.

Place: Mumbai
Date: 13th October, 2021

For DHAWAL GADDA & CO.
Practicing Company Secretaries

DHAWAL
CHHAGANLAL
GADDA

Digitally signed by
DHAWAL CHHAGANLAL
GADDA
Date: 2021.10.13
17:45:33 +05'30'

CS Dhawal C. Gadda
Proprietor
UDIN: F008955C001174317