

# **BOTHRA METALS AND ALLOYS LIMITED**

**CIN:** L27100MH2001PLC133926

**Regd Office:** Room No.15, 3<sup>rd</sup> floor, 5 Gulab Ben Trust building, (Bothra House)

Assembly lane D.S.A lane, Mumbai, Maharashtra 400002

**Tel No.:** +91 22 49785309

**Mail ID:** bmal\_2001@rediffmail.com

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To,

Date: 21<sup>th</sup> April, 2023

The Manager,  
Listing Department,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400001.  
Maharashtra, India.

**Scrip Code:** 535279, **Scrip ID-** BMAL

**Sub:** Compliance Certificate for the Quarter ended March 31, 2023 regarding maintenance of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Sir / Ma'am,

Enclosed please find herewith the Compliance Certificate for the Quarter ended March 31, 2023 regarding maintenance of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Request you to take the above information on your records.

Thanking you,

Yours faithfully,

**For Bothra Metals and Alloys Limited**

**Sonu Singhal**

Company Secretary Cum Compliance Officer

Membership No: F33059

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## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED**

**31<sup>ST</sup> MARCH, 2023**

*[Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015]*

I, Sonu Singhal, Compliance Officer of Bothra Metals and Alloys Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 0 (zero) number of events during the quarter ended and has captured 0 (zero) number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Nil

**For Bothra Metals and Alloys Limited**

**Sonu Singhal**

Company Secretary Cum Compliance Officer

Membership No: F33059