

# **BOTHRA METALS AND ALLOYS LIMITED**

Room No 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai,  
Maharashtra-400002

**Date: April 19, 2021**

To,  
**The Corporate Relation Department**  
**The Bombay Stock Exchange (SME Platform)**  
25<sup>th</sup> Floor P.J. Towers Dalal Street  
Fort Mumbai- 400023

**Subject: PCS Certificate under Clause 40(9) of SEBI (LODR) Regulations, 2015, for the half year ended 31<sup>st</sup> March, 2021**

**Ref: Scrip Code-535279, Scrip ID- BMAL**

Dear Sirs,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate from M/s. Dhawal Gadda & Co., Company Secretaries, for the half year ended 31<sup>st</sup> March, 2021.

Kindly take the same on record.

Thanking You,

Yours Faithfully,  
**For Bothra Metals & Alloys Ltd.**  
**(Company Under Corporate Insolvency Resolution Process)**

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HARISH KAUSHIK  
Date: 2021.04.19  
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**Harish Kant Kaushik**  
**As Interim Resolution Professional of Bothra Metals & Alloys Ltd.**  
**Reg. No. IBBI/IPA-001/IP-P-01469/2018-2019/12340**  
**Address: Flat No. 1904, Sapphire, Regency Towers, Kavesar,  
Ghodbunder Road, Thane (W) – 4000615**  
**Email ID: [harishkant2007@gmail.com](mailto:harishkant2007@gmail.com), [irp.bothrametals@gmail.com](mailto:irp.bothrametals@gmail.com)**

**Encl.: As above.**



**CERTIFICATE UNDER CLAUSE 40(9) OF SEBI (LODR) REGULATIONS, 2015, FOR THE HALF  
YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. BOTHRA METALS AND ALLOYS LIMITED** (hereinafter referred to as 'the Company') having its registered office at Room No, 6A, Ground Floor, Bothra House, 5 Assembly Lane, Dadi Seth Agyari Lane, Kalbadevi Mumbai 400002, maintained by Link Intime India Private Limited, the Registrar & Share Transfer Agent, pertaining to transfer of Equity Shares of the Company during the period from 1<sup>st</sup> October, 2020, to 31<sup>st</sup> March, 2021, for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company, I hereby certify that the Company has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during half year ended on 31<sup>st</sup> March, 2021.

**For DHAWAL GADDA & CO.  
Practicing Company Secretaries**

**Place: Mumbai  
Date: 19<sup>th</sup> April, 2021**

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DHAWAL  
CHHAGANLAL GADDA  
Date: 2021.04.19  
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**CS Dhawal C. Gadda  
Proprietor**

**UDIN: F008955C000131682**